# Erosion risk mapping; a methodological case study in the Colombian Llanos

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#### Introduction

Accelerated soil erosion caused by water is an increasing global problem. Erosion can be defined as the detachment or entrainment of soil particles (Mutchler et al., 1988). Soil erosion by water can be divided into: *splash erosion*, which occurs when soil particles are detached and transported as a result of the impact falling raindrops, *sheet erosion*, that removes soil in layers and is caused by the combined effects of splash erosion and surface runoff, *rill erosion*, which is the disappearance of soil particles caused by concentrations of flowing water, and *gully erosion*, that occurs when the concentrations are larger (Stroosnijder and Eppink, 1993). These processes reduce the soil resource, thereby negatively affecting the agricultural production and sustainability.

Factors which control erosion are (Morgan, 1986):

- 1. Climatic characteristics: rainfall volume and intensity
- 2. Soil properties: soil texture, organic matter content, infiltration capacity, etc.
- 3. Land management: type of land use, vegetation cover, etc.
- 4. Topographical factors: slope steepness and slope length

These factors can be highly variable over space and time. This makes soil water erosion a very dynamic and spatial phenomenon (Hofierka and Šúri, 1996) and thus quantitative erosion mapping a complicated task. However, for land use and conservation planning an analysis of the erosion risk is important.

Such an analysis demands geographically bound data. The data requirement depends on the methodology that is being used for the erosion mapping. Different methodologies exist to infer erosion risks from the available data.

One of the most applied erosion models throughout the world is the Universal Soil Loss Equation, USLE (Wischmeier and Smith, 1978). The USLE is a statistically calibrated model based on data of the erosion controlling factors as collected in the United States. A point of criticism made by Tricart and KiewietdeJonge (1992) is that the USLE is a simple addition of parameters and thus excludes all interaction and feedback effects in the erosion process, which invalidates its universal use. They pledge for a more qualitative approach in mapping erosion risks. While a quantitative approach is necessary for the design of hydraulic infrastructure such as reservoirs, a qualitative approach is usually suitable for land use and conservation planning purposes.

This study will examine a more qualitative approach. This is the Tricart's Ecodynamic Approach. This approach is concerned with the various processes and mechanisms that cause changes in the ecological environment, as well as their interactions (Tricart and KiewietdeJonge, 1992). It is applied in a raster environment, where erosion-controlling factors are defined on a raster basis.

Remote sensing can serve as a useful tool in both methods. It offers fast and cost effective measurements over large areas (Pilesjö, 1992). Especially when other data is not available or hard and costly to acquire, satellite images in the optical and microwave domains can provide helpful data on land use, land cover and landforms, which help to infer erosion risks.

Erosion risks can be divided into potential and actual soil erosion risk. Potential soil erosion risk is defined as the inherent risk of erosion irrespective of current land use or vegetation cover. This potential risk represents the worst situation that might be reached. Actual erosion risk relates to the current risk of erosion under present vegetation and management conditions.

The following study forms part of a land evaluation and land use planning program, that is

executed by CIAT for the Meta department in the Llanos Orientales of Colombia. Last year the emphasis has been on the farm level, this year on the municipality level and next year on the department level. The resulting erosion risk map of this study will be used as input in the land use planning process. The evaluated methodologies will be transferred to the municipalities as the final aim of the CIAT program consists in making the municipalities capable of executing their own land use planning program. Puerto López serves as a pilot municipality.

The first aim of this study is to establish which methodology is most suitable to qualitatively map soil erosion risk areas in CIAT's savanna ecoregional test site, the Puerto López municipality. To attain that purpose, the two methodologies will be studied and applied to the test site. Limitations and advantages of each one will be established. Secondly, this study aims at evaluating which method allows to best take advantage of the information available from the Landsat TM images available for the area.

To reach these purposes, the study can benefit from a digital elevation model, a soil map, a classified 1996 Landsat TM image and a 1998 Landsat TM image.

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#### Setting

#### Study area

Puerto López is a municipality in the department of Meta in Colombia. Its geographical position is between  $3^{\circ}40'$  and  $4^{\circ}27'$  northern latitude and  $72^{\circ}04'$  and  $73^{\circ}15'$  western longitude. The municipality has a surface of 6907 km<sup>2</sup> and about 24.000 inhabitants of which 11.000 live in rural areas (CORPOICA, 1995). The height varies between 180 to 300 meters above sea level. The hydrologic system belongs to the Orinoco-watershed and to the subwatershed of the Meta-river.

#### Climatic properties

The region has an average temperature of 27 °C and an annual precipitation of 2.800 mm. The rain mainly falls between April and November, with the highest rainfall in June. This season is called winter. The rainwater increases the discharge of the river, which results in the rainy months in inundations (IGAC, 1991). The relative humidity varies between 65% in summer and 90% in winter (Correa et al., 1988). The rainfall regime creates a high erosion hazard (Restrepo and Navas, 1981).

#### Geology

Puerto López is situated in the region that is called the Llanos Orientales, which extends from the Amazons in the south, the Eastern Cordillera of the Andes in the west, and Venezuela in the north and the east. The geology of the Llanos Orientales is closely related with the geology of the Eastern Cordillera: the sediments in the Llanos originate from the erosive processes that the mountain range has experienced. Later these sediments were affected by tectonic movements that greatly modified the original sedimentation patterns (IGAC, 1978). At present, the municipality consists of a low part where the main rivers run that are called alluvial terraces and a higher part that is called the 'altillanura' (high plains). This 'altillanura' can be divided in a not dissected and a dissected part.

#### Soils

The most common soils in the municipality, according to the FAO-classification, are acrisols, ferralsols, cambisols, fluvisols and gleysols. The organic matter content is generally low (Hoyos et al., 1992), as well as the infiltration capacity. Rainfall of more than 20 mm causes runoff and erosion (Amézquita and Londoño, 1997). This low capacity results from a poor structure or a laminar structure, where hardly any macro-pores are present.

#### Vegetation and land use

The greatest part of the municipality consists of natural and introduced pastures. Cattle breeding forms the prime economic activity in the municipality. The introduced pastures consist of various forms of the species brachiaria; brachiaria decumbens, brachiaria humidicola and brachiaria dictionebra are the most present. Natural pastures are often subject to burning, which is done to renovate the pastures and in this way improving the quality of the cattle food. These natural pastures can contain bushes or trees, especially at the transition to forest. Forest occupies another great part of the municipality and the bulk of it is situated around the drainage network, containing a variety of species. Crops and plantation form a relative small part of the area. In the western part of the municipality, rice is an important cash crop, which is grown on large areas and fumigated by little airplanes. The other trees and crops cultivated are fruit trees, rubber, plantain, maize, cassava and a few others. Apart from some minor cultivations, these can be found around the farm houses.

#### Social

The biggest part of the farm owners doesn't live in the municipality, but in cities like Bogotá. They attract people to put in charge of their farms. Usually these encharged people don't stay for a long time at the farm and often lack a good knowledge about the specific qualities of its belonging fields.

#### Materials and methods

#### Satellite images

Landsat Thematic Mapper (TM) images were used in this study. The Landsat TM is a satellite sensor that records data in 7 different bands. Bands are wavelength filters through which the sensor collects its data. Each band has its own set of data that is stored in 8-bit format. This means that the brightness values for each pixel range from 0 to 255, where 0 is the darkest value. The spatial resolution of the bands is 30 meters, with the exception of band 6, which has a resolution of 120 meters. The spectral bands are given in table 1.

Band	Spectral Range
1	$0.45 - 0.52 \ \mu m$ (blue)
2	$0.52 - 0.60 \ \mu m \ (green)$
3	$0.63 - 0.69 \ \mu m \ (red)$
4	$0.76 - 0.90 \ \mu m$ (near IR)
5	1.55 – 1.75 µm (mid IR)
6	$10.4 - 12.5 \ \mu m$ (thermal)
7	2.08 – 2.35 µm (mid IR)

 Table 1: Spectral bands for Landsat TM

In this study a Landsat TM image of the  $10^{th}$  of August 1998 was used. It covers almost the entire municipality, except for a small fringe in the eastern part. Several clouds were present, which made the processing of the image more difficult. A composite of the image can be seen in annex 1. Apart from this image, a classified image of the 9<sup>th</sup> of January 1996 was available

#### Ground data

Ground data was collected in June 1999 using a Global Position System (GPS). With the GPS coordinates of the borders of parcels or other homogeneous areas were measured, which resulted in a collection of polygons. For each polygon the land use was determined as well as the average vegetative ground cover. Apart from these data, ground data of March 1998, collected by N. Beaulieu and P. Hill was available.

#### Soil data

The Colombian geographical institute 'Agustín Codazzi' (IGAC) has done a soil study in 1978 for the northeast and central part of the department of Meta, in which the Puerto López municipality is situated (IGAC, 1978). For this soil study, aerial photographs have been used as a base to separate the general landscape forms. Field checking and the integration of elements like relief, drainage patterns and land use resulted in the cartographic units for the soils. In these units, pilot zones have been established where a thorough soil study was executed. The results were extrapolated to the whole area. The resulting map is on a scale 1 : 100.000. The study is well documented and for each cartographic unit the constituting profiles are described in terms of their physical and chemical properties. In the Puerto López municipality 19 different cartographic units were defined, of which some are subdivided for varying slope classes. Most units have an internal variability as they consist of 2 or more soil types with different characteristics. The soil map was digitized and the vectors were rasterized to make the map compatible with the other information.

#### Digital Elevation Model

The term Digital Elevation Model (DEM) is used to refer to a digital representation of a topographic surface (Felicísimo, 1994). It contains surface elevation values for regular grid

points. The DEM used in this study was interpolated from elevation contour lines and point elevation data. It has a 25-meter grid. It can be used to derive topographic parameters, such as slope, slope length and drainage pattern.

#### Software

The Canadian software package PCI version 6.3 was used on a Windows NT 4.0 workstation to treat the data in this study. PCI is a GIS (Geographical Information System) software package, which is mainly designed to treat raster (satellite or DEM) data, but can also handle vector data. ACE (Advanced Cartographic Environment) version 3.0 by PCI Carto was used to make the maps.

#### **Processing of the Landsat images**

#### Classification

In the study area different land cover types are present. It is important to define the location of the several types as they vary in their effect on the erosion process. Landsat TM images form an important source to derive information on the present land coverage.

Different cover types reflect varying amounts of energy in a single spectral band and a single cover type reflects varying amounts of energy as a function of wavelength (Hoffer, 1984). The relation between the energy reflected of an object and the wavelength is called the spectral signature of the object. For each object class, the reflection can show deviational behavior in each band. The mean reflection of a class and its standard deviation for each band can be used to distinguish it from other classes. This allows multispectral scanners like Landsat TM to be a useful tool in mapping cover classes. Each class has to meet two conditions:

- 1. The class must be spectrally separable from all other classes
- 2. The class must be of interest to the user or have informational value (Hoffer, 1976)

Two broad classes of classification procedures exist for classifying remote sensing data. One is referred to as unsupervised classification and the other supervised classification. Unsupervised classification is a method, which examines a large number of unknown pixels and divides them into a number of classes based on their spectral separability. Afterwards these classes can be identified by associating a sample of pixels in each class with available reference data (Richards, 1993). However, *a priori* analyst information cannot be implemented, which causes that the classes do not always meet the second condition (see above).

Therefor, the supervised classification procedure was considered more appropriate in this study. This method first determines the spectral signatures of the training data. Training data are collections of prototype pixels identified in an image, that define the class signatures. The analyst collects this data for all desired classes, labels it and trains the classification algorithm to recognize the spectral characteristics of each class. This data can be collected in the field, from maps, from aerial photographs or interpreted from the image itself. When trained, the algorithm assigns labels to all of the image pixels by using the class estimates.

The supervised classification at least meets the second condition, but it is not obvious that the first condition will be met (see above). Therefor, before starting the algorithm, one has to ascertain that the defined classes in the training data are spectrally separable for the bands used in the classification. A sound measure to check this separability is the Jeffries-Matusita (JM) distance (Jensen, 1996). The JM-distance between a pair of spectral classes  $?_i$  and  $?_j$  is defined as:

$$J_{ij} = \int_{\mathbf{x}} \{\sqrt{p(\mathbf{x} \mid \mathbf{W}_{i})} - \sqrt{p(\mathbf{x} \mid \mathbf{W}_{j})}\}^{2} d\mathbf{x}$$
(1)

which is a measure of the average distance between the two spectral class probability distributions  $p(\mathbf{x}|_{i}^{2})$  and  $p(\mathbf{x}|_{i}^{2})$  (Wacker, 1971) in which x is the position in the multispectral space. PCI calculates this measure for all pairs of classes. For normally distributed classes the procedure results in values that range from 0.0 and go asymptotically to 2.0. The value 0.0 indicates a complete overlap between the signatures of the two respective classes and 2.0 indicates complete separation. If values lie between 0.0 and 1.0 the separation is considered very poor, between 1.0 and 1.7 poor, between 1.7 and 1.9 acceptable and above 1.9 good (Jensen, 1996). A poor separability can be the result of training sites that have a large internal

variability within each class. In this case a possibility is to edit the training sites or merge poorly separable classes.

When the separability between the classes is considered acceptable, the supervised classification procedure can begin. The most common supervised classification algorithm is the one of the maximum likelihood classification. The basis of this method is that a point x in the multispectral space with co-ordinates defined by the brightness values, obtains a probability  $p(?_i|x)$  that gives the likelihood that the correct class is  $?_i$  for a point at position x, where i takes the value of 1 to the total number of classes. Classification is performed according to:

 $x \in \mathbf{W}_i$  if  $p(\mathbf{W}_i | x) > p(\mathbf{W}_j | x)$  for all  $j \neq i$  (2)

This means that the pixel at x belongs to class ? *i* if  $p(?_i|x)$  is the largest. The probabilities can be calculated from the training data. This is approach is called Bayes' classification (Richards, 1993). It is possible to apply thresholds to this approach as a maximum allowable deviation from the mean spectral signature. If the probability of a pixel is below the threshold for a certain class, it will not be classified as belonging to that class. The maximum likelihood classifier is considered to give accurate results when assumed that classes in the input data have a Gaussian distribution.

After the classification has been performed, its accuracy has to be determined in order to attach a degree of confidence to the results obtained. Preferably this is done with other data than the sites used for training, as these training sites are biased in the classification (Jensen, 1996). This new ground data will be evaluated against the classification map in a confusion matrix (see table 3, page 16). This is a square array of numbers laid out in rows and columns that expresses the number of sample pixels assigned to a particular class relative to the actual class as verified in the field. The columns represent the ground truth data, while the rows indicate the classification result for the respective pixels. The probability of a reference pixel being correctly classified can be determined by dividing the number of correct pixels in the class by the total number of pixels in the class as derived from the ground truth data (the column total). This measure is called the producer's accuracy. Overall accuracy could be determined by dividing the total correct by the total number of pixels. However, in this study it was assumed that if a large part of the ground truth data fall within one or a few classes, the overall accuracy would be biased. Therefor an adapted overall accuracy was used, that weighs the respective producer's accuracy according to percentage occupied by each class in the municipality, which was determined from the classification.

#### Estimating the vegetative ground cover

Although the classification gives an indication of which land cover type can be expected on which location, it is also important to know to what extent the soil is covered by the vegetation This influences the susceptibility to soil detachment, mainly through raindrop interception.

Spectral vegetation indices can be related to vegetation characteristics like ground cover percentage. The rationale for these indices is to exploit the unique spectral signature of green vegetation as compared to spectral signatures of other materials. Most vegetation indices are based on the relation between the red and near-infrared reflectance (for Landsat TM bands 3 and 4). The red reflectance is low for vegetation, whereas its near-infrared reflectance is high (figure 1).

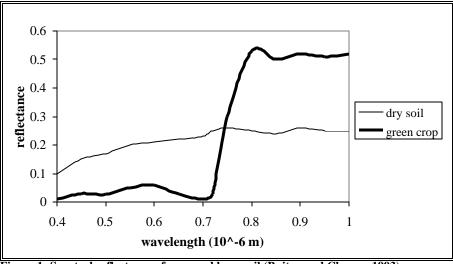


Figure 1: Spectral reflectance of crop and bare soil (Buiten and Clevers, 1993)

A common used vegetation index is the Normalized Difference Vegetation Index (NDVI). The NDVI is calculated as follows (Rouse et al., 1974):

$$NDVI = \frac{nir - red}{nir + red}$$
(3)

where nir = reflectance in the near-infrared band (band 4 for Landsat TM) red = reflectance in the red band (band 3 for Landsat TM)

Its values range from -1 to 1, but for vegetation and soil these values lie between 0 and 1. The NDVI values can be scaled between the minimum (bare soil) and maximum ground cover. Scaled NDVI (N<sup>0</sup>) is defined as:

$$N^{0} = \frac{NDVI - NDVI_{0}}{NDVI_{s} - NDVI_{0}}$$
(4)

where  $NDVI_0$  corresponds to the NDVI values for bare soil and  $NDVI_s$  relates to a surface with a vegetation cover of 100 %. An important advantage of this scaling is that atmospheric correction of the scaled NDVI is unnecessary for determining vegetative ground cover, for both clear and hazy conditions (Carlson and Ripley, 1997). However, clouds cause problems in calculating the NDVI, which are not solved by scaling.

Vegetative ground cover (VGC) can be assessed by relating field estimations to the calculated scaled NDVI for the same area. Choudbury et al. (1994) and Gillies and Carlson (1995) obtained a square root relation between  $N^0$  and VGC, which can be formulated:

$$VGC \approx N^{0^2} \tag{5}$$

However, a theoretical basis for this relationship does not exist.

#### The Universal Soil Loss Equation (USLE)

The most widely used prediction equation for average annual sheet and rill erosion is the USLE (Wischmeier and Smith, 1978). It is the statistical summary of more than 10 000 plotyears of data collected on natural runoff plots in the eastern USA. The equation reads:

$$A = R * K * L * S * C * P$$
(6)  
in which:  

$$A = the average annual soil loss (t ha-1 y-1)
$$R = the rainfall and runoff factor (MJ ha-1 mm h-1 y-1)
$$K = the soil erodibility factor (t MJ-1 h mm-1)
$$L = the slope length factor (-)
$$S = the slope gradient factor (-)
$$C = the cover and management factor (-)
$$P = the conservation practice factor (-)$$$$$$$$$$$$$$

#### The rainfall and runoff factor

The erosive force of the local rainfall regime (the erosivity) is represented by the rainfall factor. The product of kinetic energy and rainfall intensity gives a good representation of this erosivity. The R factor can be calculated as (Foster et al. 1981):

$$e_m = 0.119 + 0.0873\log(I_{30} * 2) \tag{7}$$

$$R = \sum_{j=1}^{n} [e_m(I_{30} * 2)p]_j$$
= kinetic energy (MJ ha<sup>-1</sup> mm<sup>-1</sup>) (8)

where  $e_m$ 

 $I_{30}$ 

р

j

= kinetic energy  $(MJ ha^{-1} mm^{-1})$ = maximum intensity in 30 min.  $(mm h^{-1})$ = precipitation per shower occurrence (mm)

= number of shower occurrences from 1 to n, n being the total yearly number of shower occurrences

However, to apply this equation detailed climatic data is needed. This data could not be obtained within the Puerto López municipality. In a neighboring municipality an erosivity study has been done using climatic data for two sequential years 1979 and 1980 (Restrepo and Navas, 1982). They obtained a value of 1600 to 1700 MJ ha<sup>-1</sup> mm h<sup>-1</sup> y<sup>-1</sup>. Furthermore an isoerodent map, showing lines of equal rainfall erosivity, was available for the whole of Colombia at a scale 1: 3.400.000 (IGAC, 1988). This map shows that the municipality lies in a zone with erosivity between 1500 and 3000 MJ ha<sup>-1</sup> mm h<sup>-1</sup> y<sup>-1</sup>. Taking into account the location of the neighbouring municipality and the transition to other zones in the map, a value of 2000 MJ ha<sup>-1</sup> mm h<sup>-1</sup> y<sup>-1</sup> was considered an acceptable estimate for the municipality. Given the available data the same value was applied for the total area.

#### The soil erodibility factor

This factor quantifies the cohesive, or bonding character of a soil type and its resistance to dislodging and transport due to raindrop impact and overland flow. It can be linked to the soil properties through the soil erodibility nomograph (Wischmeier et al., 1971), shown in annex 2. This nomograph uses the following inputs:

- 1. Percentage silt and very fine sand (  $2 100 \ \mu m$ )
- 2. Percentage sand (  $> 100 \ \mu m$ )
- 3. Percentage organic matter
- 4. Class for soil structure
- 5. Permeability class

The output is the soil erodibility in English units. This value can be converted to the metric system through multiplication with 1.292.

Classes of soil structure	Permeability classes
1 Very fine granular	1 Rapid
2 Fine granular	2 Moderate to rapid
3 Medium or coarse granular	3 Moderate
4 Blocky, platy, or massive	4 Slow to moderate
	5 Slow
	6 Very slow

 Table 1: Classes for soil structure and permeability in the soil erodibility nomograph

In the soil study available, the very fine sand fraction was not determined. Therefor an assumption had to be made. According to E. Amézquita (personal communication, 7/99), it is realistic to assume that 20 percent of the sand fraction of the soils in the area consists of very fine sand. The structure class of the present soils is either 3 or 4. Structure class 3 was related with a slow permeability, structure class 4 was related with a very slow permeability.

#### The slope factors

The effects of topography and hydrology on soil loss are characterized by the combined LS factor. According to Wischmeier and Smith (1978), the LS factor is calculated as follows:

$$LS = (L/72.6)^{m} * (65.41 \sin^2 S + 4.56 \sin S + 0.065)$$
(9)

where L is the slope length (feet), S is the degree of slope and

 $\begin{array}{ll} m = 0.5 \mbox{ if } & S = 5.0 \ \% \\ m = 0.4 \mbox{ if } & 3.5 \ \% = S < 5.0 \ \% \\ m = 0.3 \mbox{ if } & 1.0 \ \% = S < 3.5 \ \% \\ m = 0.2 \mbox{ if } & S < 1.0 \ \% \end{array}$ 

Arc Macro Language (AML) programs provided by Hickey et al (1994) have been used to calculate the LS-factor within Arc/INFO Grid. Basically, the LS AML takes a DEM, establishes the high points, then, following the flow direction, calculates a cumulative LS value down the slope. The user inputs a value for the minimum slope change required to cause deposition. This value was set at 0.5 %. The program is iterative and runs a number of times on the entire grid.

To test the program a standard plot was constructed with a slope length of 22.1 m and a slope of 9 % and a grid spacing of 0.10 m. At the bottom of the slope the LS-factor should result in a value of 1.0. As this was the case, it was concluded that the program functioned well.

#### The cover and management factor

The cover and management factor is defined as the ratio of soil loss from an area with a specified cover and management to that from an identical area of tilled continuous fallow. It is an important factor, because it represents conditions that can most easily be managed to reduce erosion (Renard et al., 1994). The standard C-value is a weighted average of seasonal cover-management factor values. Remote sensing offers the possibility to assess the C-factor for extended areas. Pilesjö (1992) estimated the C-factor for Ethiopia and Sudan using a relation between Landsat bands 4 and 7. De Jong (1994) related the C-factor to the NDVI for the Mediterranean area. However, most spatial USLE studies using satellite data, perform a classification before determining C-values (Folly et al., 1996; Jürgens and Fander, 1993). This seems more justified as the effects of canopy on soil splash vary among crops, depending on foliage characteristics, canopy height and ground cover percentage (Lal, 1990). C-values for different land cover types can be found in literature.

#### The conservation practice factor

A specific support practice, like contouring or contour strip cropping, can reduce the soil loss. This is accounted for in the conservation practice factor. As in the municipality hardly any support practices were encountered, this factor was fixed to 1.0. It remains to be said though that a few farmers practice contouring, although only on some of the small cultivated plots. This was considered too insignificant to take into account in this study.

#### **Tricart Ecodynamic Approach**

Ecodynamics is the dynamics of the ecological environment (Tricart and KiewietdeJonge, 1992). It is concerned with the various processes and mechanisms that cause changes in the ecological environment. For erosion studies, these processes can be divided into morphogenic processes and pedogenic processes. Morphogenic processes are the processes that form the landscape due to gravitational force or other tangential working forces. Pedogenic processes refer to the development of soil horizons parallel to the soil surface. Morphogenesis generally proceeds down a topographic surface, whereas pedogenesis proceeds vertically.

The morphogenic-pedogenic balance studies the relation of morphogenic to pedogenic mechanisms. The principle of this balance is based on the fact that the soil develops downward, while morphogenesis affects the surface by ablation, reworking or by accumulation. This balance helps to investigate the various factors of soil water erosion. The erosion risk is greater where morphogenic processes prevail than at sites where pedogenic processes have the overhand.

The morphogenic-pedogenic balance varies in space: there is no accumulation on a level surface, whereas on a slope subject to export of material there is removal of the upper part of the soil and frequently mixing. On a site of accumulation at the foot of a slope, colluvium is deposited.

Different ways exist to study spatial varying phenomena. One way is through zonification. Zonification is the process of dividing a fixed area in individual zones that have the same characteristics and a high degree of internal uniformity in all or certain essential attributes for a specific goal (Etter, 1994). This approach was used for studying erosion by the Brasilian national institute for spatial investigation INPE (Crepani et al., 1996; Hernandez F., 1995). Another way is using a raster approach in which the essential attributes are determined for every pixel. Because of the high variability within the area and because of the nature of the available data, a raster approach was used in this study in which erosion-controlling factors are qualified on a pixel basis.

Tricart and KiewietdeJonge (1992) consider the factors geology, soil, relief, vegetation and climate. Each of these factors has its influence on the morphogenic-pedogenic balance. The factors consist of various sub-factors (important attributes for erosion), that help to define the final value, using decision trees. Decision trees are hierarchical multidirectional keys, which can be used to extract a final qualitative rating for a specific purpose from the composing sub-factors.

The geologic factor is solely determined by the alteration degree. Alteration can be defined as the physical and chemical change that occurs in rocks, at the ground surface or close to it, through atmospheric agents (SSSA, 1987). The code handbook of the Colombian geographical institute 'Agustín Codazzi' (IGAC, 1996) defines three levels of alteration, that are determined in their soil study of Puerto López (IGAC, 1978) (see annex 3).

The same handbook defines three elements that are used for the soil factor: the texture of the topsoil, the effective depth and the grade of the structure development. Organic matter classes are defined according to the division made by IGAC (1995). The selection of these soil attributes was made after talks with experts on soils in the area. For the present combinations of the elements, a soil factor is determined by evaluating the sub-factors, using the decision tree shown in annex 4a.

The relief factor comprises two sub-factors. The first is the slope steepness, which is calculated from the DEM. Each pixel is assigned to a slope class (annex 3). The second sub-factor is the dissection grade, which defines the dissectedness of the terrain, and is classified

according to IGAC (1996). The dissection grade is interpreted visually from the DEM, whereby also looking at the drainage pattern distracted from it. The drainage intensity is a measure for dissectedness of the terrain. Annex 4b shows how the sub-factors are combined for the resulting relief factor.

The vegetation factor can be determined using a land use map, obtained with the 1998 Landsat image, and the estimates of the vegetative cover.

For the municipality it was assumed that significant climatic differences were not present. Therefor a climate factor was not taken into account.

All factors receive value ranging from 1.0 to 3.0, where 3.0 is the value assigned when the factor is most favorable to erosion. The erosion risk map results from averaging the geology, soil, relief and vegetation factor (Hernandez F., 1995).

#### Results

#### Classification

The Landsat TM image of the 10<sup>th</sup> of August 1998 was used in the classification procedure. For the classification all the 7 spectral bands were used. Moreover the vegetation index NDVI was also taken into account to distinguish more clearly between various cover types. In this way all the available spectral data was utilized, which maximizes the separability between the different classes given the training data.

Ground data of June 1999 was used in combination with a part of the ground data of March 1998. The other part of 1998 was used for the accuracy assessment. A part of the 1999 data was excluded, because of two reasons. First, land covers like recently burned or recently plowed land (bare soil), were clearly different on the image. Second, clouds covered some polygons. Besides the used ground data, clear features like clouds, cloud shadows, forest and water were digitized from the image composite.

To assess the separability between the classes the JM-distance was used. At first 24 different classes were defined, but were grouped according to poor separability. After a preliminary classification it appeared that classes for crops and fruit trees were present all over the area. As this didn't match with what was experienced in the field, namely that crops and fruit trees occupy a very small part of the municipality and that the great part of it was covered during the 1999 field visit, these classes were eliminated. This resulted in the following 12 classes: introduced pastures (1), natural pastures (2), transitional vegetation (savanna with shrubs) (3), forest (4), burned natural pastures (5), earlier burned natural pastures (6), bare soil (7), water (8), rice (9), rice in preparation (10), clouds (11) and cloud shadows (12). The separability for each combination is shown in table 2.

	1	2	3	4	5	6	7	8	9	10	11
2	1.22										
3	1.48	1.73									
4	1.99	2.00	1.97								l
5	2.00	2.00	2.00	2.00							
6	1.99	1.90	2.00	2.00	2.00						İ
7	1.94	1.91	2.00	2.00	2.00	1.87					
8	2.00	2.00	2.00	2.00	2.00	2.00	2.00				
9	2.00	2.00	2.00	1.99	2.00	2.00	2.00	2.00			İ
10	2.00	2.00	2.00	2.00	2.00	2.00	2.00	2.00	2.00		
11	2.00	2.00	2.00	2.00	2.00	2.00	2.00	2.00	2.00	2.00	İ
12	2.00	2.00	2.00	2.00	1.99	2.00	2.00	2.00	2.00	2.00	2.00

Table 2: JM-distance between the classes used in the maximum likelihood classification

In the table it can be seen that for most classes the separability is good, with a value for the JM-distance between 1.9 and 2.0. For the combination between 6 and 7 the separability is acceptable. The main problem is between the classes 1, 2 and 3. Although the separability between 2 and 3 still seems acceptable, the values for the combinations 1-2 and 1-3 are very low. This can be caused by the present internal variability within these classes. Often some shrubs can be found within the pastures and transitional vegetation can vary in its shrub cover. Therefor, these classes are not easily separable. For the Colombian Llanos it is confirmed by Girard and Rippstein (1994) that it is hard to separate between these classes with only one image in one season. However only one image was available for 1998. Because these classes occupy a great part of the municipality, it was considered important to distinguish them. Taken the fact that the problematic classes are separable to some degree, the above mentioned classes were used in the maximum likelihood classification.

The maximum likelihood classification used the 12 classes with a threshold of 3.00 standard deviations. The result of this classification can be seen in annex 5. The accuracy of this classification has been assessed using available ground data collected in March 1998 and some additional digitized data. This resulted in the following confusion matrix.

Classification	1	2	3	4	5	6	7	8	9	10	11	12
1	21143	469	236	0	1	0	0	0	0	0	0	0
2	2855	3229	524	0	0	53	0	0	0	0	0	0
3	1143	1104	3844	1	0	0	0	0	0	0	0	1
4	48	4	18	5409	3	0	0	0	0	0	0	0
5	0	0	0	0	518	0	0	0	0	0	0	0
6	0	0	3	0	0	1134	0	0	0	0	0	0
7	9	0	0	0	0	0	261	0	0	0	0	0
8	0	0	0	192	0	0	0	2161	0	0	0	0
9	0	0	0	0	0	0	0	0	1891	0	0	0
10	1	0	0	0	0	0	0	0	0	1229	0	0
11	0	0	0	0	0	0	0	0	0	0	2075	0
12	0	0	4	0	0	0	0	0	0	0	0	1612
Total	25200	4808	4632	5606	527	1193	268	2169	1900	1239	2086	1625
producer's												
accuracy (%)	83.9	67.2	83.0	96.6	99.2	95.5	100.0	100.0	100.0	100.0	100.0	99.9

Table 3: Confusion matrix for the maximum likelihood classification

For most classes the producer's accuracy was very good. However, this does not always mean that a classified pixel in the image will coincide with its proper land cover, because it could be classified wrongly in another class. As could be expected from the separability, the smallest accuracy appears in the classes 1 to 3, that occupy 65 % of the municipality within the classification. The overall accuracy was calculated weighing the producer's accuracy to their respective percentages in the classification within the municipality. This was done because almost 50 % of the March 1998 ground data was taken in introduced pastures, which would bias the normal procedure to calculate overall accuracy. The calculation resulted in an overall accuracy of 84 %.

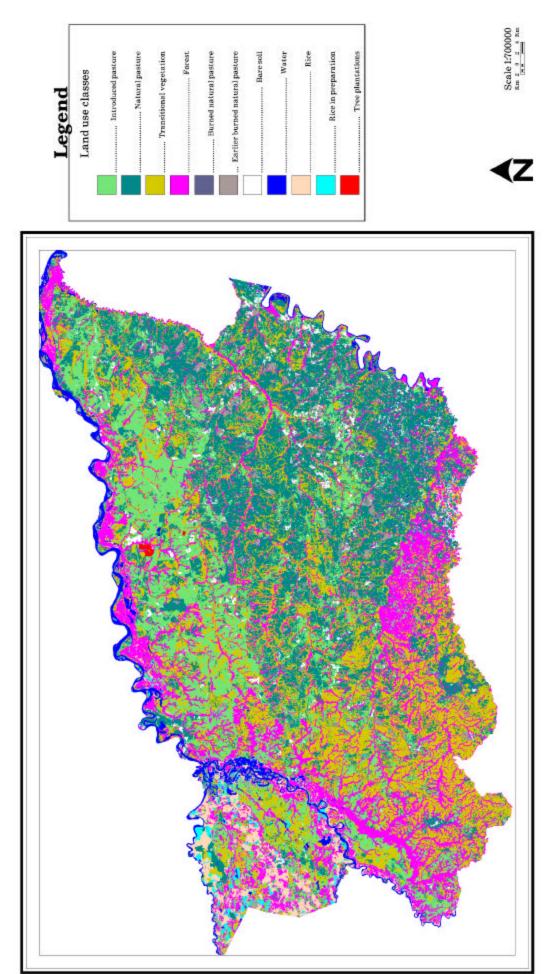
Before arriving at the final land use map, more processing had to be done. Clouds are not desirable in a satellite image. They were taken into account in the classification to ensure that these areas would not be classified erroneous. The 1996 classification was used to fill these areas.

After this operation a filter was applied to eliminate small areas, that most probably have been classified incorrectly. This filter merges image value polygons smaller than 9 pixels with a connectedness of 4 (adjacent if pixels are in contact horizontally or vertically) with the largest neighboring polygon.

By examining the classification with a composite of the image, it was concluded that some parts of the image were clearly classified wrongly, because of the presence of opaque clouds. The composite could show rather well the proper land use in these areas. Therefor, 1.3% of the image was digitized according to the apparent land use and overlaid on the classification. Furthermore some tree plantations (fruit trees and rubber) were considered significant in the area and their coordinates had been taken in the field. These data were also overlaid on the classification. These adaptations resulted in the following land use map.

Land use classification

Puerto Lpez



The percentage of each class in Puerto Lopez is presented in table 4.

.91 %
.22 %
.16 %
.19 %
.38 %
.95 %
.86 %
.97 %
.46 %
.84 %
.07 %

Table 4: Percentage of land use classes in Puerto Lopez

#### Estimation of the vegetative ground cover

For the estimation of the vegetative ground cover the scaled NDVI was calculated for each pixel according to the equations 3 and 4. The NDVI values were averaged within the individual polygons obtained from the 1999 field visit. Not all polygons were taken into account, because of the uncertainty of polygons having the same ground cover at the image date as at the time of the field visit. Therefor a part of the data, like crops, recent plantations and bare soil, were excluded. However, the big part of the data, was assumed to be more stable during time. The data are plotted in figure 2.

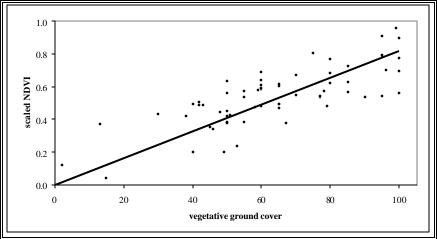


Figure 2: Relation between vegetative ground cover and scaled NDVI

The relation between the vegetative ground cover and the scaled NDVI didn't show a square root behavior like was found in the literature. As there was no theoretical reason to assume that the relation should be of a square root nature, linear regression was performed, using the least squares method, to determine the relation between the two. An intercept of 0 was used, because the NDVI of bare soil was scaled to 0. The plotted points don't fall in a straight line, possibly due to time difference between the image and the ground data. Despite its scattering behavior the figure shows a clear linear tendency between the two parameters. The relation can be formulated as:

$$VGC = 122 * N^0$$
 (10)

The value for the square of the Pearson product moment correlation  $(R^2)$  for this equation is 0.52.

At each pixel in the image the vegetative ground cover was determined with this relation. For  $N^0$  values of 0.82 and higher, VGC was set to 100 %. Clouds were not considered in these calculations. In the results it appeared that cloud shadows showed a minor diminishing effect on scaled NDVI and vegetative ground cover, but the cloudy areas obtained a ground cover far below their real value. Because of cloud cover about 4 % of the municipality obtained a false low cover percentage.

#### USLE

Three USLE factors were calculated spatially: the soil erodibility factor, the combined slope factor and the cover and management factor. The rainfall and runoff factor was fixed at 2000 MJ ha<sup>-1</sup> mm h<sup>-1</sup> y<sup>-1</sup> and the P factor was fixed at 1.0.

The K-factor was determined using the soil erodibility nomograph. The K-values for the different soil units vary between 0.21 and 0.61 t  $MJ^{-1}$  h mm<sup>-1</sup> with a mean value of 0.35 t  $MJ^{-1}$  h mm<sup>-1</sup>. Its spatial distribution can be seen in annex 6. The most erodible soils occur near the rivers, where the percentage lime and very fine sand is usually higher.

The results of the LS-factor calculations can be seen in annex 6. High values appear at the dissected part of the 'altillanura' and at the transition from 'altillanura' to alluvial terraces. It can be noted that at the bottom of the slopes, just before water will reach the river, the values are highest. Values higher than 6.0 were hardly present and therefor ignored as possible errors. Their value was set at 6.0. The average value for the municipality was 0.41.

C-values were determined using the classification of the Landsat TM image and the estimations of the vegetative ground cover. Values were taken from literature. Table 5 shows the values used in this study.

Lar	nd use classes classification	Description in literature	VGC	C-values
1	Introduced pastures	Permanent pasture, range and	0	0.45
2	Natural pastures	idle land without appreciable	20	0.20
		canopy	40	0.10
			60	0.042
			80	0.013
			95+	0.003
3	Transitional vegetation	Range land with appreciable	60	0.038
		brush or brushes with 50%	80	0.012
		canopy cover	95+	0.003
4	Forest	Undisturbed forest land with	-	0.0001-0.001
		75 to 100 cover		
5	Burned natural pastures	Bare soil	-	1.0
6	Earlier burned natural pastures	Pasture with 0% cover (see 1)	-	0.45
7	Bare soil	Bare soil	-	1.0
8	Water	-	-	0.0
9	Rice	Rice (intensive fertilization)	-	0.1 - 0.2
10	Rice in preparation	]		
11	Tree plantations	Plantation	-	0.04

 Table 5: C-factors for the classification (derived from literature)

The values for classes 1 to 7 were derived from Wischmeier and Smith (1978), the value for rice was taken from Roose (1977) and the C-factor for plantations from Pastor (1994). Where

a range of data was given in literature, the highest value was taken. For three classes the vegetative ground cover was used to determine the C-values. In between the given cover values linear interpolation was applied. Classes 9 and 10 received the same value, as the C-value is an average over the year. The spatial distribution can be seen in annex 7. The average C-value for the municipality is 0.092.

The factors were combined to obtain the erosion risk maps. The potential soil erosion risk was determined by multiplying the R, K and LS factor, not taking the current vegetation cover into account. The averaged result for the municipality is 280 t ha<sup>-1</sup> y<sup>-1</sup>. The actual erosion risk multiplies the potential erosion by the C and P factor. As P is fixed at 1.0, multiplying by the C-factor resulted in the actual soil erosion risk map. The average value is 11 t ha<sup>-1</sup> y<sup>-1</sup>. Both maps are shown on the next page (in figure ??).

The pattern of the potential erosion map is similar to the one for the LS-factor, because the range in LS-values is higher than the range for K-values. In the actual erosion risk map this pattern is changed, because the C-values also vary with a factor 1000 (C value for bare soil is 1000 times as high as the value for forest). At most places values for the potential erosion risk are much higher than for the actual erosion risk, which implies that under the present landcover, the soil is well protected against erosion.

#### Tricart Ecodynamic Approach

In the Tricart Ecodynamic Approach 4 factors were considered; the soil, geology, relief and vegetation factor. Each of these factors received a scaling between 1.0 and 3.0. Results for the soil and geology factor are shown in annex 8. Their distribution shows similarities with the USLE K-factor. Especially the geology factor shows the same high values near the rivers. The average geology factor is 1.6 and the average soil factor is 1.9.

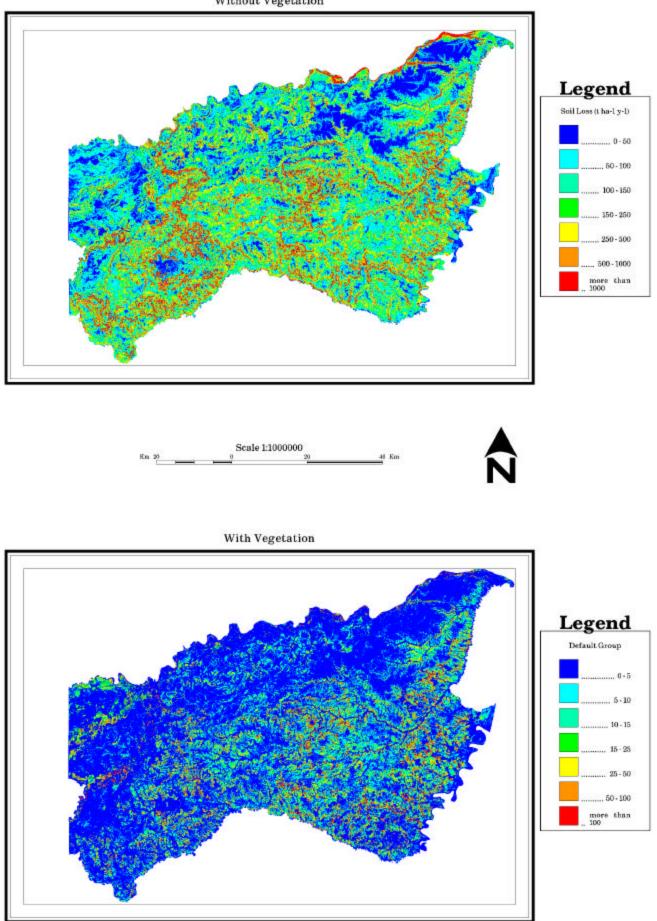
The results for the relief factor are presented in annex 9. The slopes show a similar pattern as the USLE LS-factor, but the visual interpretation of the dissection grade has a great impact. This makes that parts that show a high susceptibility to erosion in the LS-map produce a less susceptibility in the Tricart relief map. The average relief factor for the municipality is 1.9.

The vegetation factor was determined using the land use map, obtained with the 1998 Landsat image, and the estimates of the vegetative cover. The classes from the land use map were aggregated into 6 groups and the vegetative ground cover was grouped in 5 different classes (annex 3). Annex 4b shows how the data were combined. Introduced pastures were given a little higher value as natural pastures, because its stocking rates are much higher. It was assumed that cattle accelerate the erosion process. Forest, plantations and transitional vegetation was grouped into tree and shrub vegetation. This group was distinguished according to their vegetative ground cover. The results for the vegetation factor can be seen in annex 9. It looks very similar to the USLE C-factor map. The average vegetation factor is 1.5.

The erosion risk maps were derived through combination of the factors. Averaging the geology, soil and relief factor at every position resulted in the potential erosion risk map. Its mean value for the municipality is 1.8. The actual erosion risk map was determined by averaging the same factors plus the vegetation factor. This resulted in a mean value of 1.7. It should be asked though, if these values should be interpreted on a predetermined qualitative scale or should merely be used comparatively in defining which areas need most attention. The maps are shown in **figure ??**.

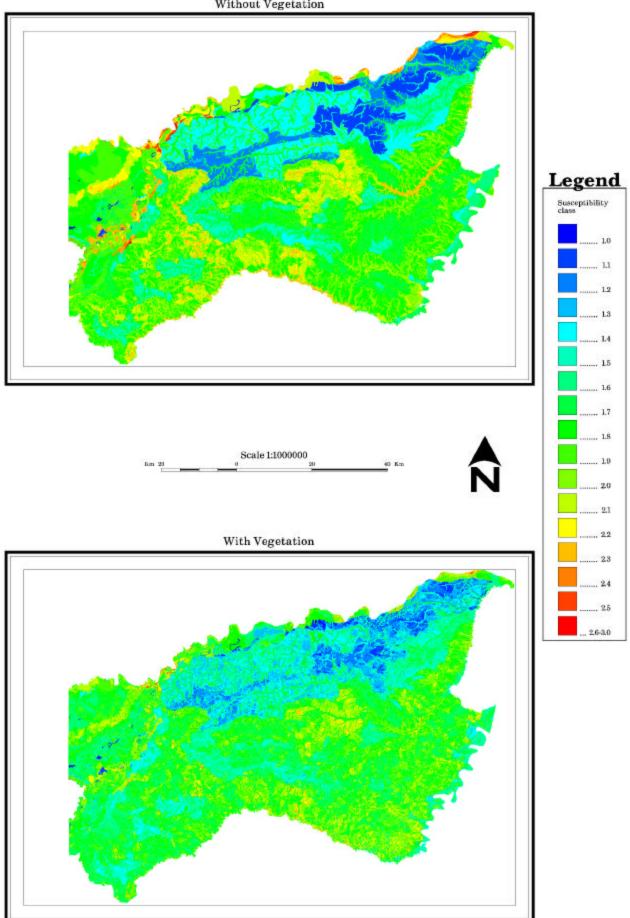
# USLE Erosion Map

Without Vegetation



## **Tricart Erosion Map**

Without Vegetation



#### Discussion

#### Data

When looking at results derived with a specific method, one should first look at the possibilities and the limitations of the data one is working with and at the possible lack of information. In this case, climate data was lacking and use was made of soil data, a DEM and Landsat TM images.

Climatic differences were not considered within this study, as it was assumed not to vary a lot in the municipality. However, it is possible that the rainfall regime causes a higher vulnerability to erosion at some places than at others. Therefor the erosion study would benefit from detailed climatic data at several locations within the municipality. This will allow to interpolate for other locations to create a map with the important climatic factors, which is mainly the rainfall regime.

As in most soil studies, the soil study of the IGAC uses cartographic units to describe the variability within the area. However, units are artificial and one should be aware that transitions between units can be smooth and units are internally variable. The IGAC soil study defines different soil perfiles within each unit. To extract data from this study, the perfile properties were averaged according to their presence within the unit. This is not really elegant in a raster based study, where at each pixel location one would like to know the specific soil properties one is interested in. A possible way to ameliorate the estimation of the soil properties is by using data from a DEM, as described by Moore et al (1993). They used multiple linear regression analyses relating soil to topographic attributes.

Neither should the DEM be interpreted as an exact representation of the relief. It is an interpolation of points and contourlines, which in itself are already an interpolation. Furthermore it should be noted that the DEM has a pixelsize of 25 meters. This implies that small depressions where sedimentation can occur are not represented in the DEM and that steep slopes on small parts (in the field slopes up to 100 % were seen) cannot be calculated from the DEM. In other words, the DEM remains a model and its limitations should be acknowledged. However it can give a good overall idea of the relief pattern within the municipality and the calculations from it can give a good indication of the spatial distribution of the specific relief attributes within the municipality.

Landsat TM images can be a good source to adquire information about the land cover over a large area. However, several factors can hinder the quality and the completeness of the information adquired. An important disturbing factor is the presence of clouds in the image, as was the case in the image used. They have a completely different spectral signature, which does not allow to assess the present land cover underneath them.

- landsat
- ground data? (image not available at time of visit)
- time difference between ground data and image

#### Classification and vegetative cover

[beter terug naar het veld voor checking en ground truth voor accuracy nemen naar de reele distributie van klassen (volgens classificatie) in de municipio]

- better to have more images at different seasons

Use of satellite imagery in methods

#### Comparison of methods

#### General

- GIS / interpretatie data moet/kan veel meer gebruik maken van kennis van derden (local knowledge) van verschillende partijen of van expert-knowledge van mensen met kennis van het gebied. Tot nu toe kwam de GIS-data integratie voornamelijk tot stand mbv modellen. Echter modellen geven slechts een afbeelding van de werkelijkheid met een bepaald doel. Vaak zijn deze modellen ontworpen voor andere gebieden (geografisch) of doeleinden dan waarvoor ze gebruikt worden (USLE!!!). Het GIS-informatie systeem zou ook informatie van derden moeten beslaan. Participatie
- Tricart geeft een flexibele benadering dat van een basis-principe uitgaat, maar vrijheid geeft in de keuze van indicatoren en combinaties, zodat deze aangepast kan worden per gebied, per tijd of bij veranderende inzichten. Tevens geeft dit de mogelijkheid in te spelen op de data-beschikbaarheid.
- USLE schept voordelen wanneer een snelle indruk van de erosie (risico) verkregen moet worden, voldoende specifieke data beschikbaar is en men niet op de hoogte is (of geen tijd) van de belangrijke lokale factoren.
- Tevens schept de USLE voordelen wanneer een kwantitatieve schatting verlangd wordt. Voor landgebruiksplanning is dit echter niet het geval (wel idee van het belang van erosie in het gebied). Verder moet bedacht worden dat dit slechts een schatting is en fouten aanzienlijk kunnen zijn.
- Kaarten erg verschillend?

- without situation is more stable during time (although erosion can occur that changes properties!), so that the vegetation factor can be used as a monitoring option to check the current situation (can be determined more frequently)

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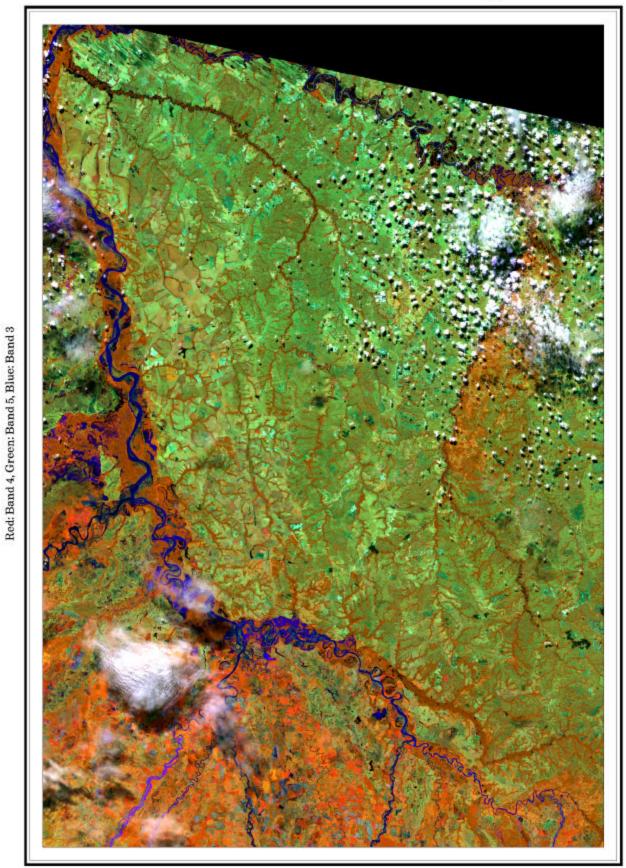
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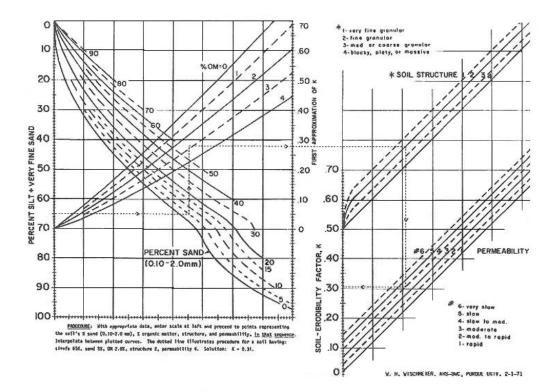
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Factor	Sub factor	Rating	Description	Criteria
GEOLOGY	Alteration degree	2	Strongly meteorized Moderately meteorized Slightly meteorized	With soil structure Advanced signals of meteor. Fresh material
SOILS	Texture (topsoil)	2	Fine Medium Coarse	Ar - ArL - ArA - ArF F - FArA - FArL - FAr - FL - L A - AF - FA
	Effective depth	23	Moderately deep Superficial Very superficial Excessively superficial	> 50 cm 25 - 50 cm 10 - 25 cm 0 - 10 cm
	Degree of structure development	2 3	Strong Moderate Low Massive	
	Organic material (topsoil)	2 3 4 5	Very high High Medium Low very low extremely low	> 6.0 % 2.5 - 6.0 % 1.5 - 2.5 % 1.0 - 1.5 % 0.5 - 1.0 % < 0.5 %
RELIEF	Slope steepness	2 3 4 5	Flat Slightly inclining Moderately inclining Fuertamente inclinada Fuertemente quebrada Scarpada	0-3 % 3-7 % 7-12 % 12-25 % 25-50 % >50 %
	Dissection grade	2 3	Not dissected Slightly dissected Moderately dissected Very dissected	Visual interpretation
VEGETATION	Land use	2 3 4 5	Water Tree and shrub vegetation Natural pastures Introduced pastures Rice land Bare and burned land	Supervised classification of Landsat TM
	Vegetative ground cover	2 3 4	High Moderately high Moderate Low Very low	80-100% 60-80% 40-60% 20-40% 0-20%

### Annex 3: Qualifications for Tricart's Ecodynamic Approach

### Annex 4: Determination of the Tricart sub factors for the present element combinations

Effective depth	Texture (topsoil)	Organic matter content	Degree of structure development	Soil factor
1	1	4	3	1.4
1	2	2	3 3 1	1.3
	2	2 3 3		1.3
1	2	3	2	1.4
1	2	4	2	1.5
1	2	4	4	2.3
2	2	1 2	2	1.7
2	2	2	2	1.9
$ \begin{array}{r} 1\\ 2\\ 2\\ 2\\ 2\\ 2\\ 3\\ 3\\ 3\\ 3\\ 4\\ 4\\ 4\\ 4\\ 4\\ 4\\ 4\\ 4\\ 4\\ 4\\ 4\\ 4\\ 4\\$	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	4	$\begin{array}{r} 2\\ 2\\ 4\\ 2\\ 2\\ 2\\ 2\\ 1\\ 3\\ 2\\ 4\\ 3\\ 2\end{array}$	$     \begin{array}{r}       1.4 \\       1.3 \\       1.4 \\       1.5 \\       2.3 \\       1.7 \\       1.9 \\       2.2 \\       2.4 \\       2.3 \\       2.8 \\       2.0 \\       2.5 \\       2.6 \\       2.7 \\       3.0 \\     \end{array} $
2	2	5 3 5 1	3	2.4
2	3	3	2	2.3
2	3	5	4	2.8
3	1	1	3	2.0
3	2	3	2	2.5
3	2	3	3 3 4	2.6
3	2 3	4	3	2.7
4	3	5	4	3.0

a: Soil factor

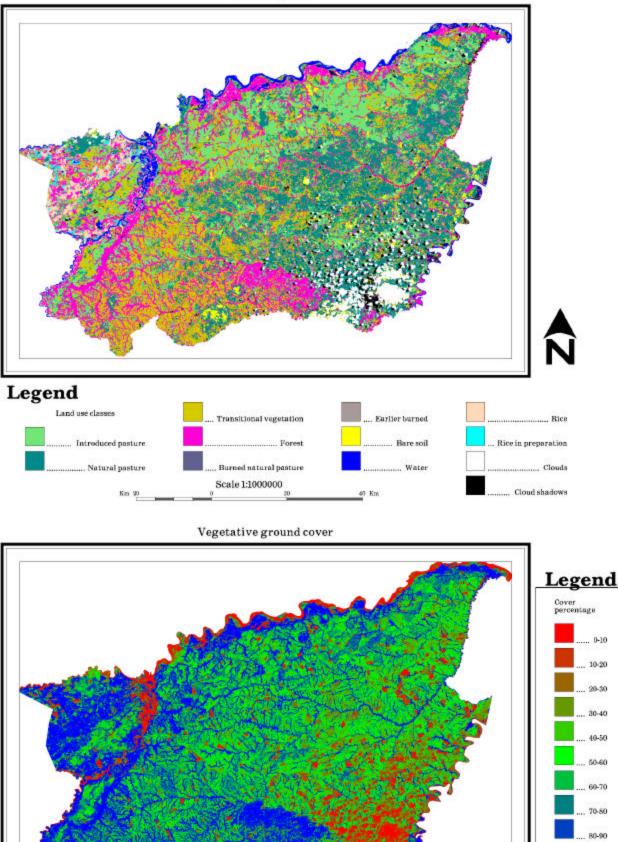
Land use	Vegetative ground cover	u oto 1.0 1.0 1.0 1.2 1.3 1.5 1.9 2.3 2.7 1.4 1.6 2.0 2.4 2.8 1.8 3.0
1		1.0
2	1	1.0
2	2	1.2
2 3 3	1	1.3
3	23	1.5
3	3	1.9
3	4	2.3
3 3 4	5	2.7
	1	1.4
4	2	1.6
4	3	2.0
4	4	2.4
4	5	2.8
5		1.8
6	tion factor	3.0

Dissection grade	Slope steepness	Left factor Local Content of the second sec
1	1	1.0
1	2	1.3
1	3	1.8
1	4	2.1
1	5	2.3
1	6	2.5
2	1	1.4
2	2	1.7
2	3	2.0
2	2 3 4 5 6 1 2 3 4 5	2.3
2	5	2.5
2		2.7
3	1	1.9
3	2	2.2
3	3	2.4
3	4	2.6
3	5	2.8
3	6	2.9
4	1	2.2
4	2	2.6
$ \begin{array}{c} 1\\1\\1\\1\\2\\2\\2\\2\\2\\2\\2\\2\\2\\2\\2\\3\\3\\3\\3\\3\\$	$ \begin{array}{r} 1\\2\\3\\4\\4\\5\\6\\6\\1\\1\\2\\2\\3\\3\\4\\4\\5\\6\\6\end{array}$	2.8
4	4	2.9
4	5	3.0
4	6 ief fact	3.0

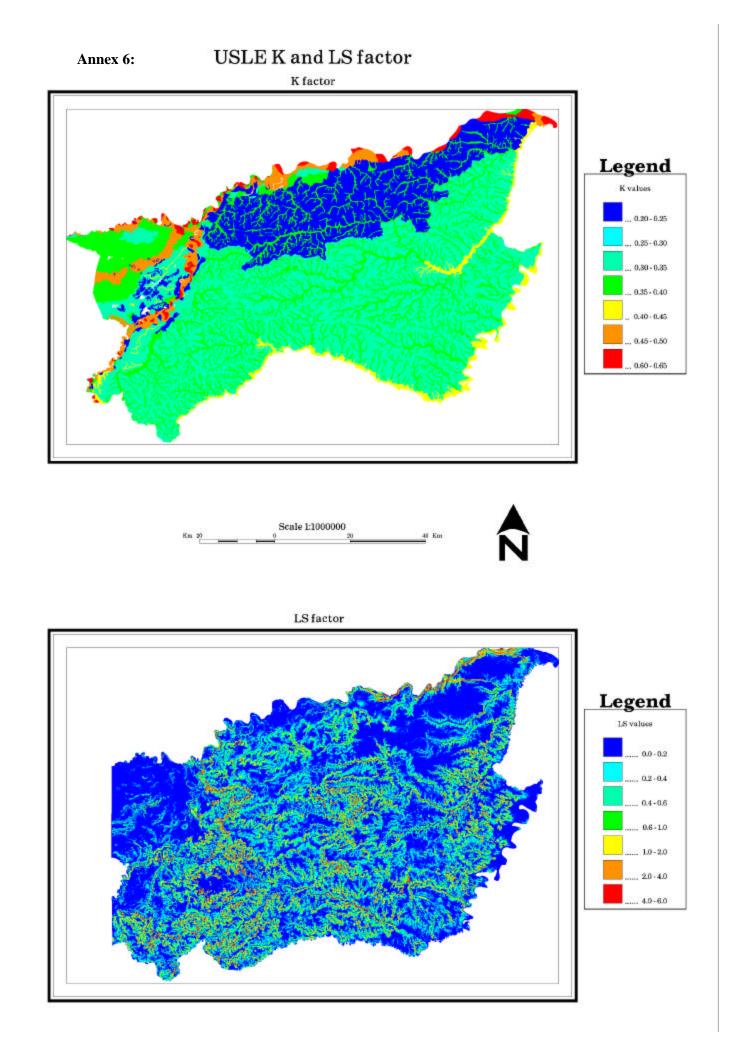


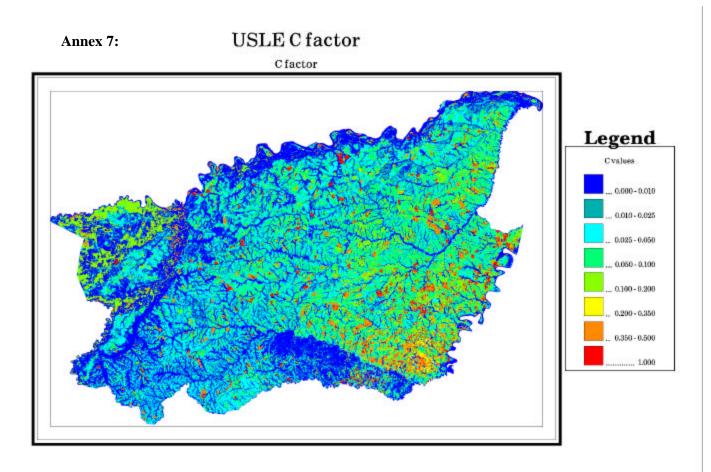
### 1998 Landsat results

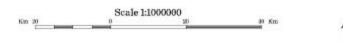
Classification

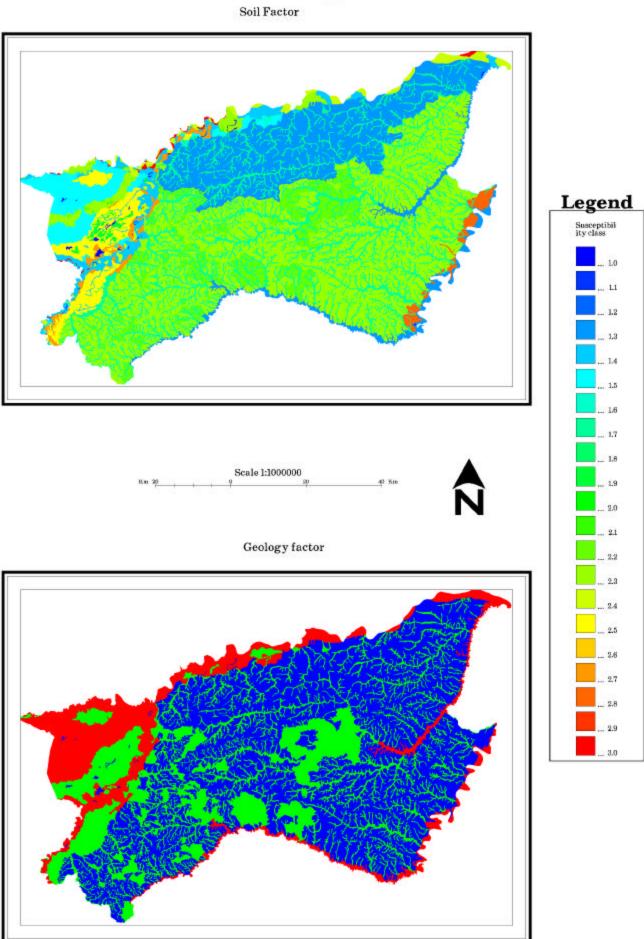


... 90-100

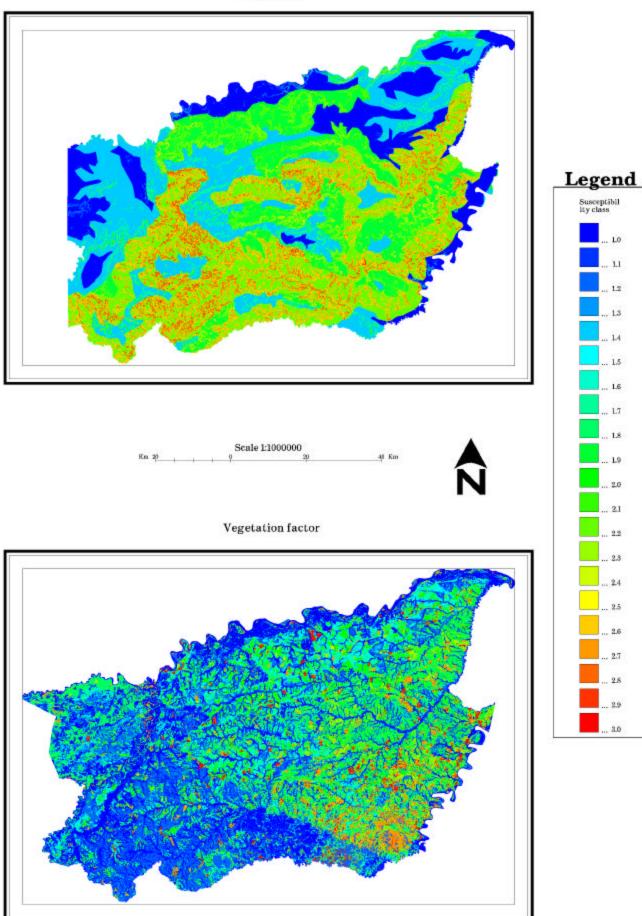








Annex 8: Tricart Soil and Geology Factor



# Annex 9: Tricart Relief and Vegetation Factor

Relief factor